

Date: 14<sup>th</sup> April, 2020

To,  
The Listing Department  
The Calcutta Stock Exchange Association Limited,  
7, Lyons Range  
Kolkata – 700 001

**Sub: - Submission of Share Holding Pattern for the 4<sup>th</sup> Quarter ended on 31<sup>st</sup> March, 2020**

Dear Sir,

With reference to the above captioned matter, we are enclosing along with this letter, Share Holding Pattern for the 4<sup>th</sup> quarter ended on 31<sup>st</sup> March, 2020 required to be submitted in compliance with Regulation 31(1)(b)/ Regulation 31(1)(c) of the Listing Obligations and Disclosure Requirement Regulations, 2015.

Kindly take the same on your record & oblige.

Thanking You,

Yours Faithfully,

**FOR CLARITY FINANCIAL SERVICES LIMITED**

  
**SANJAY GUPTA**  
**MANAGING DIRECTOR**  
**DIN: 01383122**



Enclosed: - Shareholding Pattern.

# Annexure - I

## Holding of specified securities

- 1 Name of Listed Entity: CLARITY FINANCIAL SERVICES LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : [BSE: ] [CSE: 13087]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

31-Mar-20  
NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

**CLARITY FINANCIAL SERVICES LIMITED**

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	4	934790	0	0	934790	30.15	934790	0	934790	30.15	0	30.15	0	0	0	0	934790
(B)	Public	4148	2165310	0	0	2165310	69.85	2165310	0	2165310	69.85	0	69.85	0	0	NA		28198
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA		0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0.00	0	0	NA		0
(C2)	Shares held by EmployeeTrusts	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0	NA		0
	Total	4152	3100100	0	0	3100100	100.00	3100100	0	3100100	100.00	0	100.00	0	0	NA		0
																		962988



**CLARITY FINANCIAL SERVICES LIMITED**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Statement showing shareholding pattern of the Promoter and Promoter Group																			
Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)	
1)	Indian																		
(a)	Individuals/Hindu undivided Family		3	835790			835790	26.96	835790		835790	26.96	26.96					835790	
1	SANJAY GUPTA	ADTPG1816G		259610			259610	8.37	259610		259610	8.37	8.37					259610	
2	TAMANNA GUPTA	AZSPG3425E		315000			315000	10.16	315000		315000	10.16	10.16					315000	
3	BHARTI GUPTA	AJMPG8986E		261180			261180	8.42	261180		261180	8.42	8.42					261180	
(b)	Central Government/ State Government(s)																		
	Name (xyz...)																		
(c)	Financial Institutions/ Banks																		
	Name (xyz...)																		
(d)	Body Corporates		1	99000			99000	3.19	99000		99000	3.19	3.19					99000	
1	CLARION FINANCE & INVESTMENT CO PVT LTD	AAGCC9485L		90000			90000	2.90	90000		90000	2.90	2.90					90000	
	Sub-Total (A)(1)		4	934790	0	0	934790	30.15	934790	0	934790	30.1535	0	30.15	0	0	0	934790	
2)	Foreign						0												
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0			0	0.00	0		0	0.00	0.00					0	
	Name (xyz...)						0												
(b)	Government						0												
	Name (xyz...)						0												
(c)	Institutions						0												
	Name (xyz...)						0											0	
(d)	Foreign Portfolio Investor						0												
	Name (xyz...)						0												
(e)	Any Other (specify)						0												
	Name (xyz...)						0												
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0	0	0	
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		4	934790	0	0	934790	30.15	934790	0	934790	30.1535	0	30.15	0	0	0	934790	
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
Note: (1) PAN would not be displayed on website of Stock Exchange(s).																			

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.  
 Note: (1) PAN would not be displayed on website of Stock Exchange(s).  
 (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

# CLARITY FINANCIAL SERVICES LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI)= (VII)+(X)	(XII)		(XIII)	(XIV)		
1)	Institutions																		
(a)	Mutual Funds/		1	200000			200000	6.45	200000		200000	6.45	6.45				NA	0	
	CRB TRUSTEE LTD. A/C CRB MUTUAL FUND			200000			200000	6.45	200000		200000	6.45	6.45				NA		
(b)	Venture Capital Funds																NA		
	Name (xyz...)																NA		
(c)	Alternate Investment Funds																NA		
	Name (xyz...)																NA		
(d)	Foreign Venture Capital Investors																NA		
	Name (xyz...)																NA		
(E)	Foreign Portfolio Investors		1	99500			99500	3.21	99500		99500	3.21	3.21				NA		
	1 SUREWIN LTD			99500			99500	3.21	99500		99500	3.21	3.21				NA	0	
(F)	Financial Institutions/ Banks		0	0			0	0.00	0		0	0.00	0.00				NA		
	Name (xyz...)																NA		
(g)	Insurance Companies		0	0			0	0.00	0		0	0.00	0.00				NA	0	
	1						0	0.00	0		0	0.00	0.00				NA	0	
(h)	Provident Funds/ Pension Funds						0	0.00	0		0	0.00	0.00				NA	0	
	Name (xyz...)												0.00				NA		
(i)	Any Other (specify)																NA		
	Name (xyz...)																NA		
	Sub-Total (B)(1)		2	299500	0	0	299500	9.66	299500	0	299500	9.66	9.66	0	0		NA	0	
2)	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS		0	0	0	0	0	0.00	0	0	299500	9.66	0.00	0	0		NA	0	
	Name (xyz...)																NA	0	
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0.00				NA		
3)	Non-institutions																		
(a)	Individuals -																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		4126	1436610			1436610	46.34	1436610		1436610	46.34	46.34				NA	23198	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	226800			226800	7.32	226800		226800	7.32	7.32				NA	0	
	Name (xyz...)																NA		
1	PRADEEP R LODHA	0		133900			133900	4.32	133900		133900	4.32	4.32				NA		
2	ARPITA DUTTA	0		46200			46200	1.49	46200		46200	1.49	1.49				NA	0	
(b)	NBFCs registered with RBI																NA		
	Name (xyz...)																NA		
(c)	Employee Trusts																NA		
	Name (xyz...)																NA		
(d)	Overseas Depositories (holding DRs) (balancing figure)																NA		
	Name (xyz...)																NA		

Table III - Statement showing shareholding pattern of the Public shareholder



CLARITY FINANCIAL SERVICES LIMITED																		
Table III - Statement showing shareholding pattern of the Public shareholder																		
Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class eg: X	Class eg: Y	Total							
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)
(e)	Body Corporates (India) & Trusts		15	202400			202400	6.53	202400		202400	6.53	6.53			NA		5000
	Name (xyz...)																	
	1 SUREE SECURITIES PVT LTD			99600			99600	3.21	99600		99600	3.21	3.21			NA		
	2 DAIWA SECURITIES LTD			45000			45000	1.45	45000		45000	1.45	1.45			NA		
	3 DAIWA GLOBAL FINANCE LTD			35000			35000	1.13	35000		35000	1.13	1.13					
(f)	Directors						0	0.00	0		0	0.00	0.00			NA		0
	Name (xyz...)																	
	Sub-Total (B)(3)		4146	1865810	0	0	1865810	60.19	1865810	0	1865810	60.19	60.19	0	0	NA		28198
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		4148	2165310	0	0	2165310	69.85	2165310	0	2165310	69.85	69.85	0	0	NA		28198
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																		
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		

Note:

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

**CLARITY FINANCIAL SERVICES LIMITED**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category y	Category of shareholder	PAN (II)	Nos. of shareholder s (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depositor y Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateriali zed form (XIV)
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)				(X)	(XI)= (VII)+(X)	(XII)		(XIII)	(XIV)	
1)	Custodian/DR Holder																		
	Name of DR Holder (if (a) available)																	NA	
	(i) abc,,...																	NA	
	(ii) efg...																	NA	
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	
2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																	NA	
	(a) Name (....)																	NA	
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	
																		NA	

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,